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Melissa ScanzilloClifford Chance US LLP

Docket No. 3499-233

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: David Lawrence

Filed: August 1, 2002

Group Art Unit: 3624

Serial No: 09/633,080

Examiner: to be determined

For: CONSTRUCTION INDUSTRY RISK MANAGEMENT  
CLEARINGHOUSE**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is Form PTO-1449 and copies of the non-patent documents listed thereon.

Applicants respectfully request the Examiner's consideration of the cited reference and entry into the record of this application and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

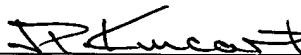
The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

The present Information Disclosure Statement has been submitted prior to the receipt of an Office Action in this application. Accordingly, no fee is due. However, in the event that a first Office Action was mailed on or before the date of submission of this disclosure statement, the Commissioner is hereby authorized to charge any fees to deposit Account No. 50-0521.

Respectfully submitted,

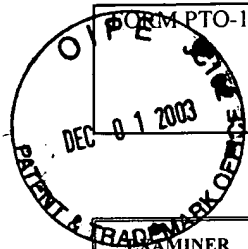
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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

# INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Serial No. 10/633,080

Inventors: David Lawrence

Docket No. 3499-233

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,085,175	7/4/00	Gugel et al.	705	36	7/2/98
	6,078,905	6/20/00	Pich-LeWinter	705	36	3/27/98
	5,177,342	1/5/93	Adams	235	379	11/9/90
	6,219,805	4/17/01	Jones et al.	714	38	9/15/98
	6,078,904	6/20/00	Rebane	705	36	3/16/98
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	5,696,907	12/9/97	Tom	395	238	2/27/95
	6,119,103	9/12/00	Basch et al.	705	35	5/27/97
	2001/0027389	10/4/01	Beverina et al.	703	22	5/14/01
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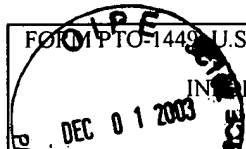
Serial No. 10/633,080

Inventors: David Lawrence

Docket No. 3499-233

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	FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Serial No. 10/633,080 Inventors: David Lawrence Docket No. 3499-233
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## INFORMATION DISCLOSURE STATEMENT

Inventors: David Lawrence

(Use several sheets if necessary)

Docket No. 3499-233

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION
	WO 00/75836	✓ 12/14/00	PCT	Yes
	WO 01/55885	✓ 8/2/01	PCT	Yes
	JP 02000020618A	✓ 6/30/98	Japan	Yes
	1 137 209	✓ 3/12/01	EP	Yes
	1 143 365	✓ 4/4/01	EP	Yes
	JP 0200350896A	✓ 8/8/01	Japan	Yes

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	"Firms Consider Pact to Track Terror Money." Wall Street Journal, 26 Nov. 2001.
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	"Transforming Discovery Into Opportunity"; "Key Personnel Bios"; "Frequently Asked Questions"; <u>Mantas</u> . "Mantas, Inc., Announces Funding and Management Team," <u>Mantas</u> , 4 June 2001. "Safeguard and SRA Partner to Launch Mantas, Inc.," <u>Mantas</u> , 4 June 2001. "Knowledge Discovery Platform"; "Money Laundering Detection for Banks"; "Fraud and Money Laundering Detection for Securities Firms"; "Best Execution"; "Equities Trading Compliance", <u>Mantas</u> .
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FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Serial No. 10/633,080
	Inventors: David Lawrence
	Docket No. 3499-233

	· Hicks M., "What, me spam?" Good intentions alone aren't enough to avoid alienating customers", e Week 03 September 2001, retrieved from DIALOG, DIALOG NO.: 08984028, see abstract.
	· Banasiak, Michael, "Don't be Out-Scored by Your Competition", <u>Credit and Financial Management Review</u> , 2 <sup>nd</sup> Quarter 2000.
	· Barrett, Jennifer, "Banking on Software Solutions", <u>Newsweek Web</u> , June 12, 2002.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449 [6-4]